

Docket No. PHUS-28

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JUL 26 2002

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In re Patent application of: §  
FAOUR, J. et al. §

Serial No.: 09/770,901 §  
Filed: January 26, 2001 §

For: Pharmaceutical compositions containing  
A COX-II inhibitor and a muscle  
relaxant

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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Group Art Unit: 16147  
Examiner: N/A S. A. Jiang

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>7/17/02</u>	
<u>Rick Matos</u>	Typed or printed name of person mailing paper
<u>[Signature]</u>	Signature of person mailing paper

#14  
AKO  
8-15-02

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

I hereby appoint Rick Matos, Reg. No. 40,082, as my agent with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith; and I request that all correspondence be directed to:

Rick Matos, Ph.D.  
Innovar, L.L.C.  
P.O. Box 250647  
Plano, TX 75025-0647

Customer No. 24,039   
**24039**  
PATENT TRADEMARK OFFICE

and that all calls be directed to (972) 747-7373; that all facsimile transmissions be directed to (972) 747-7375; and that all email messages be directed to [innovarllc@mindspring.com](mailto:innovarllc@mindspring.com).

I am the assignee of record of the entire interest. A Statement under 37 C.F.R. §3.73(b) is enclosed.

Respectfully submitted,

Date: September 4, 2001

[Signature]  
Abdiel Mansfield (for and on behalf of InterCorp Services Inc., which is sole Director and Secretary of Osmotica Corp.)



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relaxant

Assistant Commissioner for Patents  
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<p align="center">CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>7/17/02</u></p> <p><u>Rick Matos</u> Typed or printed name of person mailing paper</p> <p>_____ Signature of person mailing paper</p>
--

STATEMENT UNDER 37 C.F.R. 3.73(b)

OSMOTICA CORP., a company incorporated under the laws of the British Virgin Islands, states that it is the assignee of the entire right, title and interest in and to the invention covered by the patent application/patent identified above by virtue of an assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012806, Frame 0712.

A copy of the assignments or other documents in the chain of title is attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Respectfully submitted,

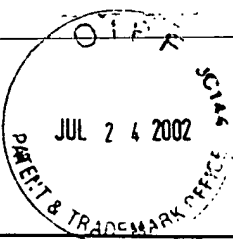
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Date: September 4, 2001

Abdiel Mansfield  
Abdiel Mansfield (for and on behalf of Intercorp Services Inc., which is sole Director and Secretary of Osmotica Corp.)



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PATENT AND  
TRADEMARK OFFICE



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JUL 26 2002

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JUNE 18, 2002

PTAS

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov

INNOVAR, L.L.C.  
RICK MATOS  
P.O. BOX 250647  
PLANO, TX 75025-0647

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\*102065869A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/20/2001

REEL/FRAME: 012806/0712  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FAOUR, JOAQUINA

DOC DATE: 04/26/2001

ASSIGNOR:

VERGEZ, JUAN A.

DOC DATE: 04/26/2001

ASSIGNEE:

OSMOTICA CORP.  
C/O ALFARO, FERRER, RAMIREZ &  
ALEMAN (B.V.I.) LTD  
R.G. HODGE PLAZA, SECOND FLOOR,  
UPPER MAIN STREET  
ROAD TOWN, TORTOLA  
BR. VIRGIN  
ISLANDS

SERIAL NUMBER: 09755371  
PATENT NUMBER:

FILING DATE: 01/05/2001  
ISSUE DATE:

SERIAL NUMBER: 09728276  
PATENT NUMBER:

FILING DATE: 11/30/2000  
ISSUE DATE:

012806/0712 PAGE 2

SERIAL NUMBER: 09756497  
PATENT NUMBER:

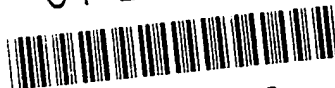
FILING DATE: 01/08/2001  
ISSUE DATE:

SERIAL NUMBER: 09770901  
PATENT NUMBER:

FILING DATE: 01/26/2001  
ISSUE DATE:

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

04-24-2002



102065869

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Form PTO-1595

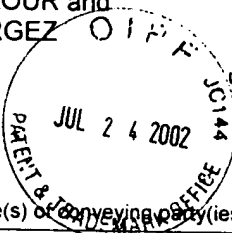
(Rev. 03/01)

OMB No. 0651-0027 (Exp. 5/31/2002)

REI

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of Conveying Party(ies)

Joaquina FAOUR and  
Juan A. VERGEZ

3.27.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: April 26, 2001

## 2. Name and Address of receiving party(ies)

Name: OSMOTICA CORP.

Address: \_\_\_\_\_

C/O Alfaro, Ferrer, Ramirez &amp; Aleman (B.V.I.) Ltd

R.G. Hodge Plaza, Second Floor

Upper Main Street

Road Town, Tortola

British Virgin Islands

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JUL 26 2002

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Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

09/755,371 09/728,276 09/756,497

09/770,901

## B. Patent No.(s)

COPY OF PAPERS  
ORIGINALLY FILEDAdditional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rick Matos

Address: Innovar, L.L.C.

P.O. Box 250647

Plano, TX 75025-0647

U.S.A.

## 6. Total Number of applications and patents involved: 4

7. Total fee (37 CFR 3.41) \$ 160.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit Account Number

501527

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rick Matos

Name of Person Signing

Signature

Date

03/27/02

Total number of pages including cover sheet, attachments, and documents: 7

1.11.02  
Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (Exp. 5/31/2002)

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U.S. Patent and Trademark Office

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Joaquina FAOUR and  
Juan A. VERGEZ

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- ☒ Assignment ☐ Merger  
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☐ Other \_\_\_\_\_

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Road Town, Tortola

British Virgin Islands

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09/770,901

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rick Matos

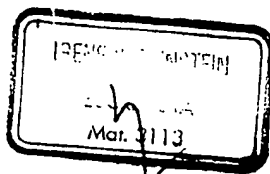
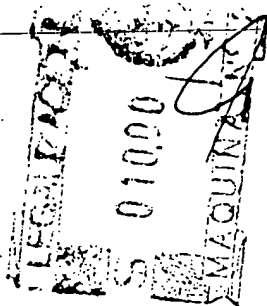
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 7

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, DC 20231



PATENT  
JOINT TO CORPORATION

ASSIGNMENT OF PATENT RIGHTS

WHEREAS, we, the undersigned inventors, residing at the addresses indicated below are JOINT inventors of the below-listed inventions or improvements entitled as indicated for which we have executed applications for Letters Patent of the United States of America, having the indicated serial numbers; and

No.	Title	Filing Date MM/DD/YY	Serial No.
1	Osmotic device containing diltiazem and an ACE inhibitor or diuretic	01/05/01	09/755,371
2	Osmotic device containing venlafaxine and an anti-psychotic agent	11/30/00	09/728,276
3	Osmotic device containing alprazolam and an anti-psychotic agent	01/08/01	09/756,497
4	Pharmaceutical compositions containing a COX-II inhibitor and pridinol	01/26/01	09/770,901

WHEREAS, OSMOTICA CORP. (Assignee), a corporation organized and existing under the laws of the British Virgin Islands, having its principal office and place of business in Road Town, Tortola, British Virgin Islands, is desirous of obtaining the entire right, title and interest in, to and under said inventions and said applications in the United States of America and in any and all countries foreign thereto;

NOW, THEREFORE, for One Dollar (\$1.00) and other good and valuable consideration to each, the receipt and sufficiency of which is hereby acknowledged, and other good and valuable consideration, we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto said Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in, to and under said inventions, and said applications, and all provisional, divisional, renewal, substitutional, and continuing applications thereof, and all Letters Patent of the United States of America which may be granted thereon and all reissues, reexaminations and extensions thereof, and all applications for Letters Patent which may be filed for said inventions in any country or countries foreign to the United States of America, and all Letters Patent, Utility Model, Petty Patent, Design Patent, and Design Registration which may be granted for said inventions in any country or countries foreign to the United States of America, and all extensions, renewals, reexaminations and reissues thereof, and we hereby authorize and request the Commissioner of Patents of the United States of America, and any official of any country or countries foreign to the United States of America, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said invention to said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

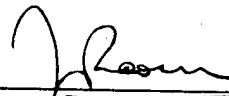
AND WE HEREBY further covenant and agree that we will communicate to said Assignee, its successors, legal representatives and assigns, any facts known to us respecting said inventions, and testify in any legal proceedings, sign all lawful papers, execute all divisional, renewal, substitutional, continuing, and reissue applications, make all rightful declarations and/or oaths and generally do everything possible to aid said Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said inventions in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand

Full name of first inventor: Joaquina Faour

Residence: Olleros, 2312, 8°B, 1426 Buenos Aires, Argentina

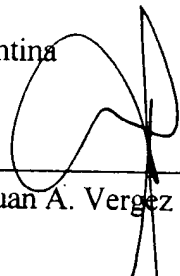
Date: 26/4/01

X   
Joaquina Faour

Full name of second inventor: Juan A. Vergez

Residence: Uriburu 1316, Becar, 1642 Buenos Aires, Argentina

Date: 26/4/01

  
Juan A. Vergez

Country of \_\_\_\_\_ §  
County of \_\_\_\_\_ § SS.  
County of \_\_\_\_\_ §

I, \_\_\_\_\_, a Notary Public in and for the Country and County aforesaid, do hereby certify that the above-named inventors, personally known to me to be the same persons whose names are subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that they signed and delivered the said instrument as their free and voluntary act for the uses and purposes therein set forth.

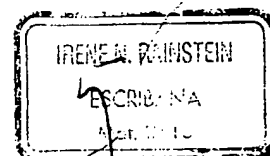
IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this \_\_\_\_\_ day of \_\_\_\_\_, 2001.

CERTIFICACION DE FIRMA EN SELLO

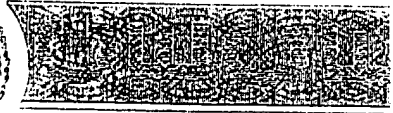
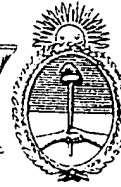
B.O.A.S. 26 APR. 2001

Notary Public N° 6004533943 - 6004533943

(SEAL)







C 007533473

1 Buenos Aires, 26 de abril de 2001 .- En mi carácter de Escribano

2       Escribana Titular del Registro Notarial 1315 de Capital Federal

3 CERTIFICO: PRIMERO: Que la/s                   firmas                   que obra/n en el

4 documento que ligo con esta foja, es/son puesta/s en mi presencia por la/s

5 persona/s cuyo/s nombre/s y documento/s de identidad se mencionan a

6 continuación y de cuyo conocimiento, doy fe.   Joaquina FAOUR, con D.N.I.

7 11.181.401 y Juan Augusto VERGEZ, con D.N.I. 11.958.755.-

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SEGUNDO: Que dicha/s persona/s manifiesta/n actuar

ambos por propio

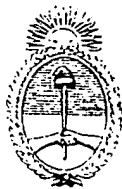
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derecho, conste.-

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CECF - Legalizacion Nro. 200104300723/6

C 007533473

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TERCERO: Que el/los requerimiento/s respectivo/s queda/n formalizado/s

simultáneamente, por medio de Acta N° 143 - - del Libro de Requerimientos

N° 53. Se deja constancia que el instrumento se encuentra totalmente re-  
dactado en idioma extranjero y contiene espacios en blanco. Conste.-

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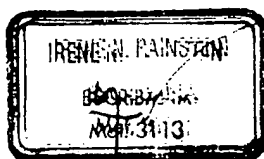
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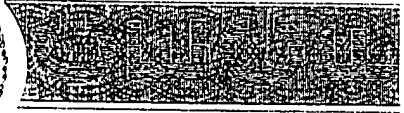
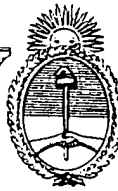
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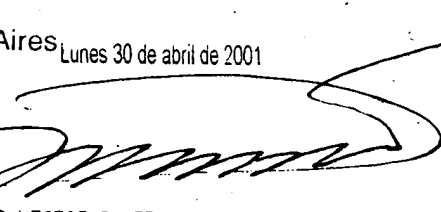


L 004807935

EL COLEGIO DE ESCRIBANOS de la Capital Federal, República Argentina,  
en virtud de las facultades que le confieren las Leyes vigentes, LEGALIZA la firma  
y el sello del escribano IRENE NORMA RAINSTEIN  
obrantes en el documento anexo, presentado en el día de la fecha bajo  
el N° 200104300723/6 La presente legalización no juzga sobre  
el contenido y forma del documento.



Buenos Aires, Lunes 30 de abril de 2001

  
EDUARDO HECTOR PLAETSIER  
COLEGIO DE ESCRIBANOS  
CONSEJERO

**APOSTILLE**

Convención de La Haya du 5 octobre 1961

1. PAIS: *República Argentina* -----
- El presente documento público
2. Ha sido firmado por: **EDUARDO HECTOR PLAETSIER**
3. Quién actúa en calidad de: **CONSEJERO**
4. y está revestido del sello/timbre del **COLEGIO DE ESCRIBANOS DE LA CIUDAD DE BUENOS AIRES**. -----

Certificado:

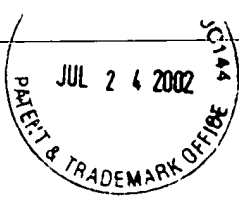
5. en **LA CIUDAD AUTONOMA DE BUENOS AIRES**
6. el día **30.04.01**
7. por **EL COLEGIO DE ESCRIBANOS DE LA CIUDAD DE BUENOS AIRES** como delegado del **MINISTERIO DE RELACIONES EXTERIORES, COMERCIO INTERNACIONAL Y CULTO**.
8. bajo el número: **4-13680**
9. sello:



10. firma

**ANA MARIA KEMPER**  
**COLEGIO DE ESCRIBANOS**  
**CONSEJERA**

Convenio firmado el 2/7/97 entre el Ministerio de Relaciones Exteriores, Comercio Internacional y Culto y los Colegios Notariales de la República Argentina sobre delegación de funciones de legalización. (Apostilla)



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TECH CENTER 1600/2900

United States Patent & Trademark Office  
Assignment Division  
BOX ASSIGNMENTS, CG-4  
1213 Jefferson Davis Hwy., Suite 320  
Washington, D.C. 20231

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent Office, Washington, D.C. 20231 on November 1, 2001.	
_____ Rick Matos	_____ Typed or printed name of person mailing paper
_____ Signature of person mailing paper	

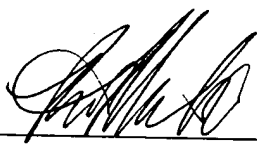
Sir:

RESPONSE TO NOTICE OF NON-RECORDATION

Pursuant to the Notice mailed October 26, 2001, Applicants hereby submit the corrected Recordation Form Cover Sheet and attached Assignment of Patent Rights document, both of which indicate the date of execution of the Assignment.

Respectfully submitted,

Date: 11/01/01  
Innovar, L.L.C.  
P.O. Box 250647  
Plano, TX 75025-0647  
Ph.: 972-747-7373  
Fax: 972-747-7375

  
\_\_\_\_\_  
Rick Matos  
Registration No. 40,082  
Agent for Applicant  
Email: [innovarllc@mindspring.com](mailto:innovarllc@mindspring.com)



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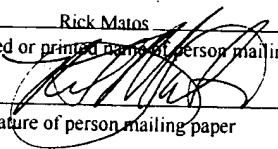
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Serial No.: 09/755,371 09/728,276 09/756,497 09/770,901  
Filing Date: 01/05/01 11/30/00 01/08/01 01/26/01

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United States Patent & Trademark Office  
Assignment Division  
BOX ASSIGNMENTS, CG-4  
1213 Jefferson Davis Hwy., Suite 320  
Washington, D.C. 20231

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<u>Rick Matos</u>	_____
Typed or printed name of person mailing paper	
	_____
Signature of person mailing paper	


Sir:

RESPONSE TO NOTICE OF NON-RECORDATION

Pursuant to the Notice mailed March 14, 2002, Applicants hereby submit the corrected new Recordation Form Cover Sheet and attached Assignment of Patent Rights document, both of which indicate the date of execution of the Assignment. The Cover Sheet now indicates the date of execution and the document ID number.

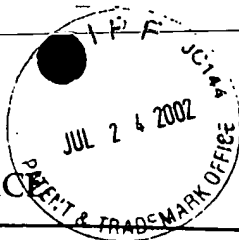
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Innovar, L.L.C.  
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Plano, TX 75025-0647  
Ph.: 972-747-7373  
Fax: 972-747-7375

  
\_\_\_\_\_  
Rick Matos  
Registration No. 40,082  
Agent for Applicant  
Email: [innovarllc@mindspring.com](mailto:innovarllc@mindspring.com)



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



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JUL 26 2002

MARCH 14, 2002

INNOVAR, LLC  
RICK MATOS  
P.O. BOX 250647  
PLANO, TX 75025-0647

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Washington, DC 20231  
www.uspto.gov



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